

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
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2183
PATENT APPLICATION

ATTORNEY DOCKET NO. 10013499-1

OIP
APR 01 2002

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

2141

Inventor(s): Joubert Berger et al.

Confirmation No.: *JBS*

Application No.: 09/896,385

Examiner:N/A

Filing Date: June 28, 2001

Group Art Unit:N/A

Title: SYSTEM AND METHOD FOR MANAGEMENT OF COMPARTMENTS IN A TRUSTED OPERATING SYSTEM

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Technology Center 2100

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97 (c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a petition under 37 CFR 1.97(d)(2), and
() a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Joubert Berger et al.

By

Jody C. Bish p

Attorney/Agent for Applicant(s)
Reg. No. 44,034

Date: March 25, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: March 25, 2002

Typed Name: Gail L. Miller

Signature: *Gail L. Miller*



Telephone No.: (214) 855-8007



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PTO-1449

PATENT APPLICATION

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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
10013499-1	09/896,385	
APPLICANT		
Joubert Berger et al.		
FILING DATE	GROUP	
June 29, 2001	N/A	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
1A					
1B					
1C					
1D					
1E					
1F					
1G					
1H					
1I					
1J					
1K					

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION
						YES NO
1L						
1M						
1N						
1O						
1P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Hewlett-Packard Virtual Vault "Administrator's Guide," HP Part No. B5413-90061, Release A.04.50, E0401, printed 2001, pages ii-xii, and 1-224. Available online at http://docs.hp.com/hpux/pdf/B5413-90061.pdf .
1R	Hewlett-Packard Trusted Solaris Administration Overview, Chapter 3, "Administering Trusted Networking," - December 2000, pages 71-78. Available online at http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&Ab2Enc=820-8859-1 .
1S	Hewlett-Packard Trusted solaris Administration Overview, Chapter 4, "Administering Auditing," - Dec 2000, pages 87-99. Available online at http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&Ab2Enc=iso-8859-1 .

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DATE CONSIDERED

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PATENT APPLICATION

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
10013502-1	09/896,351	
APPLICANT		
J ubert Berger t al.	FILING DATE	GROUP
	June 29, 2001	N/A

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

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2A					
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							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	HP Praesidium VirtualVault "Concepts Guide," HP Part No. B5413-90051, Release A.04.00, E1199, printed 1999, pages ii-viii, and 1-82. Available on-line at http://docs.hp.com/hpux/pdf/B5413-90051.pdf .
2R	Sun Microsystems "Trusted Solaris Audit Administration," Trusted Solaris 8, Sun Microsystems Part No. 805-8121-10, December 2000, pages 1-342. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .
2S	Sun Microsystems "Compartmented Mode Workstation Labeling: Encodings Format," Sun Microsystems Part No. 805-8123, December 2000, pages 1-176. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSOLBLADMIN/@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .

EXAMINER

DATE CONSIDERED



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PATENT APPLICATION

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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY. DOCKET NO. 10013502-1	APPLICATION NO. 09/896,351	CONFIRMATION NO.
		APPLICANT Joubert Berger et al.		
		FILING DATE June 29, 2001	GROUP N/A	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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3A					
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							YES NO
	3L						
	3M						
	3N						
	3O						
	3P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

3Q	Sun Microsystems "Trusted Solaris User's Guide," Sun Microsystems Part No. 805-8115-10, December 2000, pages 1-133. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .
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3S	

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